

CHAPTER 6 CLAIMS AND PRIZE PAYMENTS

Secs.	
600	Processing of Claims
601	Payment to Single Claimants Only
602	Claim Form
603	Generic Lottery Ticket Validation Requirements
604	Instant Ticket Validation
605	On-Line Lottery Ticket Validation
606	Annuitized Prizes
607	Nonassignment and Nonacceleration of the Prize
608	Payment of Prizes to Persons Under Eighteen Years of Age
609	Payment to Persons Under a Legal Disability
610	[Reserved]
611	Discharge of Liability upon Payment
612	Withholding from Prize Payments
613	Dissemination of Winning Numbers Information
614	Release of Information About Winners
615	Publication of Estimated Prizes or Winning Numbers not a Basis for Liability

600 PROCESSING OF CLAIMS

- 600.1 Claims for prizes of less than six hundred dollars (\$600) may be presented to a licensed agent for payment. The agent shall validate the ticket and pay all prize amounts due, if any, in accordance with the provisions of this title and procedures adopted by the Executive Director.
- 600.2 Prizes of six hundred dollars (\$600) or more shall be claimed at the Claim Center beginning on the first business day following the drawing.
- 600.3 To claim a prize at the Claim Center, a claimant shall present a winning ticket and a completed signed claim form to the Agency for payment. The claims shall be presented or paid only in accordance with procedures adopted by the Executive Director.
- 600.4 A prize shall be claimed within one (1) year after the drawing in which the prize was won commencing with the day following the drawing, unless a shorter period of time has been authorized by the Executive Director for that particular game.
- 600.5 A prize for a bonus game shall be claimed within the period of time authorized by the Executive Director for that particular game.
- 600.6 Notwithstanding any requirement in §308.1(m) or 600 relating to the processing of claims by an agent, the Executive Director may designate a claiming period after which no instant ticket prize may be paid by a licensed agent. The time period shall be announced to licensed agents and the public. For the purpose of

this section, the term "claiming period" shall mean the period of time in which a player may be paid an instant ticket prize by a licensed agent.

- 600.7 After the close of the claiming period, all instant ticket game prize claims shall be made at the Claim Center.

AUTHORITY: Unless otherwise noted, the authority for this chapter is §4 of the Law to Legalize Lotteries, Daily Numbers Games, and Bingo and Raffles for Charitable Purposes in the District of Columbia, D.C. Law 3-172, D.C. Code §2-2501 *et seq.* (1994 Repl. Vol.).

SOURCE: Final Rulemaking published at 39 DCR 7439, 7442 (October 2, 1992); as amended by Final Rulemaking published at 40 DCR 6959, 6960 (October 1, 1993).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Charitable Games Control Board published Final Rulemakings at 29 DCR 2202, 2211 (May 28, 1982), and at 39 DCR 2286, 2288 (April 3, 1992).

601 PAYMENT TO SINGLE CLAIMANTS ONLY

- 601.1 A claim form shall be filed in the name of a single legal entity as claimant, either one individual or one organization. Multiple payees shall not be permitted.

- 601.2 A claim may be entered in the name of an organization only if the organization possesses a Federal Employer Identification Number (FEIN) issued by the Internal Revenue Service and the number is shown on the claim form. Groups, family units, clubs, or organizations without a FEIN shall designate in writing one (1) individual to receive the prize.

- 601.3 If the back of a ticket contains multiple signatures, or if a claim is erroneously entered with multiple claimants, the signators or claimants shall designate one (1) of them as recipient of the prize, if any. If they fail to designate an individual recipient, the Executive Director may designate any one of the signators or claimants as the sole recipient of the prize or may reject the claim.

- 601.4 Payment of the prize to the sole recipient designated pursuant to §601.3 shall effect the full and complete discharge of liability to pay the prize provided by §611.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7443 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

602 CLAIM FORM

- 602.1 Each claimant presenting a prize claim at the Claim Center shall complete a claim form designated by the Executive Director and shall provide all information required by the Executive Director, including but not limited to, the prize claimant's:

- (a) Name;
- (b) Address, if any;
- (c) Social Security number or FEIN;

- (d) Driver's license, nondriver identification or other acceptable photographic identification; and
 - (e) Other applicable certifying documents required by the Executive Director.
- 602.2 All claim forms shall be completed and signed by the claimant or his or her duly authorized representative as provided in this title.
- 602.3 If the owner of a prize winning ticket is unable to complete a claim form due to a legal, physical, or other disability, a duly authorized representative, guardian, conservator, custodian, or fiduciary shall complete and sign a claim form on his or her behalf. The claim form shall indicate the name of the lawful payee.
- 602.4 If the person signing the claim form is a personal representative of the estate of a deceased winner, that person shall submit his or her letter of administration or its legal equivalent showing the appointment from the court having jurisdiction over the estate.
- 602.5 A person who signs a claim form shall be considered to have represented that the information contained therein is accurate and complete.
- 602.6 No incomplete claim form shall be processed for payment.
- 602.7 Any person who willfully submits a false or fraudulent claim form may be prosecuted for the offense of making a false statement in accordance with D.C. Code §22-2514 (1996 Repl. Vol.).

SOURCE: Final Rulemaking published at 39 DCR 7439,7443 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

603 GENERIC LOTTERY TICKET VALIDATION REQUIREMENTS

- 603.1 In order for any lottery game ticket to be valid, all of the following conditions shall be met:
- (a) The ticket shall be validated in accordance with all procedures for claiming and paying prizes;
 - (b) The ticket shall not be stolen or appear on any list of omitted tickets on file with the Agency;
 - (c) The ticket shall be intact and shall not have been mutilated, altered, reconstituted or tampered with in any manner;
 - (d) The ticket shall not be defective, fraudulent, counterfeit in whole or in part, or a duplicate of another winning ticket;
 - (e) The ticket shall have been issued by the Agency through a licensed agent in an authorized manner;

- (f) The ticket shall not be blank or partially blank, or printed or produced in error;
- (g) The ticket shall not have been previously presented for payment and a prize paid thereon;
- (h) The period designated for claiming a prize pursuant to this title shall not have expired; and
- (i) The ticket shall pass all other confidential security checks of the Agency.

603.2 Any lottery game ticket not passing all of the validation checks required by this title for that particular lottery ticket shall be void and shall not be paid except as provided in §503.7.

603.3 The final determination on validation rests with the Executive Director, except that the determinations shall be subject to the provisions of Chapter 4 of this title.

603.4 Any person who submits a lottery ticket for validation or who claims a prize, in whatever capacity, agrees to be bound and shall be bound by the provisions of §500.6.

603.5 Any person presenting a fraudulent, counterfeit or duplicate ticket may be subject to criminal prosecution.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7444 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

604 INSTANT TICKET VALIDATION

604.1 The Agent shall mutilate or destroy validated instant tickets.

604.2 Once an instant ticket has been validated, it shall not be returned to the claimant.

604.3 Any instant ticket which has been returned to the claimant after validation shall be void.

604.4 An instant ticket or a pull tab ticket shall not be valid unless the ticket bar code and other validation data was recorded on the central computer system prior to the sale of the ticket and the ticket can be processed for validation purposes by the terminal, wand or other electronic device used by the Agency for validation purposes.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7445 (October 2, 1992); as amended by Final Rulemaking published at 44 DCR 228 (January 10, 1997).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

605 ON-LINE LOTTERY TICKET VALIDATION

605.1 In addition to the validation requirements of §603, an on-line game ticket shall not be valid unless all of the following conditions are met:

- (a) The ticket validation number shall be presented and shall correspond in its entirety, using the computer validation file, to the selected numbers printed on the ticket for the date printed on the ticket;
- (b) For the **POWER BALL®** game, the player selected numbers on the ticket shall be in individual groups of prescribed numbers each associated with a single letter "A," "B," "C," "D," or "E".
- (c) For the Quick Ca\$h game, the player selected numbers on the ticket shall be in individual groups of prescribed numbers each associated with a single letter "A," "B" and "C." The requisite number and the associated letters constitute a single lettered game board play;
- (d) The ticket data shall have been recorded on the central computer system on magnetic tape or disk prior to the drawing, and the ticket data shall match this computer record in every respect;
- (e) The player selected numbers, the validation number data and the drawing data of an apparent winning lottery ticket shall appear on the official file of winning tickets, and a ticket with that exact data shall not have been previously paid; and
- (f) The Agency shall not pay tickets that cannot be processed for validation purposes by the terminal except as provided in §501.7.

605.2 If multiple wagers have been placed by a single player or group of players acting in concert on the same numbers or combination of numbers for a single drawing in an on-line game, and the Executive Director has determined that no such numbers or combination of numbers appears in the winners file in the central computer system for the drawing in question, the Executive Director may reject each claim for wagers without validating each and every ticket which is the subject of the claim.

SOURCE: Final Rulemaking published at 39 DCR 7439,7446 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

606 ANNUITIZED PRIZES

606.1 Unless annuitized payments are authorized by this title, prizes shall be paid in a single cash payment.

606.2 The Agency may elect to fund annuitized prize payments directly, through purchase of annuities or by other means.

Title 30

District of Columbia Municipal Regulations

606.3 All annuitized prizes shall be paid in annually in twenty (20) equal payments with the initial payment being made in cash, to be followed by nineteen (19) payments funded by the annuity.

606.4 The initial payment of an annuitized prize may be delayed for up to thirty (30) calendar days following the final determination by the Executive Director that the claim is valid.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7447 (October 2, 1992); as amended by Final Rulemaking published at 40 DCR 6959, 6960 (October 1, 1993).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

607 NONASSIGNMENT AND NONACCELERATION OF THE PRIZE

607.1 No rights of any person to a prize or a portion of a prize shall be assignable.

607.2 No payment of an annuitized prize shall be accelerated beyond the normal dates of payment, except as provided for in the **POWER BALL**® game in §908.4.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7447 (October 2, 1992); as amended by Final Rulemaking published at 40 DCR 6959, 6960 (October 1, 1993).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

608 PAYMENT OF PRIZES TO PERSONS UNDER EIGHTEEN YEARS OF AGE

608.1 If a person entitled to a prize is under the age of eighteen (18), the Executive Director shall direct payment pursuant to D.C. Code §2-2515 (1994 Repl. Vol.).

SOURCE: Final Rulemaking published at 39 DCR 7439, 7447 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

609 PAYMENT TO PERSONS UNDER A LEGAL DISABILITY

609.1 The Executive Director shall direct payment to the duly authorized representative or fiduciary of a person under legal or other disability to receive a prize in accordance with the laws of the District.

609.2 The Executive Director may petition a court of competent jurisdiction to determine the lawful payee in all cases involving the death of a prize winner, payment on behalf of a minor or other person under a legal disability, or multiple claimants.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7447 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

610 [RESERVED]

611 DISCHARGE OF LIABILITY UPON PAYMENT

611.1 Payment of any prize, including a **POWER BALL®** and **Daily Millions**, shall discharge the Agency, its Board members, employees, agents, attorneys, representatives, and contractors, of any further liability for payment of that prize.

611.2 Payment of a **POWER BALL®** or a **Daily Millions** prize shall discharge the members of the Multi-State Lottery Association, its product groups, employees, agents attorneys, representatives, and contractors of any further liability for payment of that prize.

611.3 Payment of a prize to a duly authorized representative or fiduciary shall effect the full and complete discharge provided by §§611.1 and 611.2.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7448 (October 2, 1992); as amended by Final Rulemaking published at 43 DCR 5110, 5113 (September 20, 1996).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

612 WITHHOLDING FROM PRIZE PAYMENTS

612.1 Prize payments shall be subject to all taxes and other withholdings required by law, and are subject to attachment for funds owed the District.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7448 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

613 DISSEMINATION OF WINNING NUMBERS INFORMATION

613.1 The dissemination and publication of winning numbers by telephone or in the media is for informational purposes only, and the Agency shall not pay a prize based upon information obtained from the **LOT LINE** or any source other than the official draw results electronically recorded in the central computer system.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7448 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

614 RELEASE OF INFORMATION ABOUT WINNERS

614.1 Pursuant to D.C. Code §1-1526(f) (1992 Repl. Vol.), a prize winner's name, city, county, and state of residence and the amount of the prize won shall be regarded as matters of public record which may be released by the Agency.

614.2 The Agency shall not release the street address or telephone number of a prize winner, except as may be required pursuant to lawful authority or urgent necessity.

614.3 The Executive Director may direct that winners be photographed to compile a photographic record of winners and for the purposes identified in §614.4.

- 614.4 By purchasing a ticket and submitting a prize claim, each potential prize winner grants the Agency the right to use his or her full name, city, county and state of residence, prize amount, and photograph or likeness for the purposes of publicizing his or her winnings, advertising and promoting the Agency's games or promoting goodwill for the Agency.

SOURCE: Final Rulemaking published at 39 DCR 7439, 7449 (October 2, 1992).

HISTORICAL NOTE: Prior to October 2, 1992, the D.C. Lottery and Charitable Games Control Board published Final Rulemaking at 29 DCR 2202, 2211 (May 28, 1982).

615 PUBLICATION OF ESTIMATED PRIZES OR WINNING NUMBERS NOT A BASIS FOR LIABILITY

- 615.1 The publication of an estimated prize amount shall not be construed as a promise to pay that estimated prize amount.
- 615.2 No prize shall be paid based on the publication or dissemination of an estimated prize amount or erroneous winning number information.
- 615.3 No prize shall be paid based upon a claim that a lottery ticket(s) has been mutilated, destroyed, abandoned or discarded as a result of the publication or dissemination of erroneous winning number information.
- 615.4 The Board, the Agency, the Multi-State Lottery Association and their employees, agents and contractors shall not be liable for any prize or other claim arising out of the publication or dissemination of estimated prize amounts or erroneous winning number information.
- 615.5 This section shall be applicable to any and all means by which prize information may be communicated to any person(s) or the public at large.

SOURCE: Final Rulemaking published at 40 DCR 6959, 6960 (October 1 1993).